Effectiveness of a network Open House model to recruit trainees to post-baccalaureate STEM programs

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48 Abstract

49 Post-baccalaureate (post-bac) programs can have a positive impact on science training and STEM career opportunities for junior trainees. A goal for many of these sponsored programs is to increase research exposure 50 for underrepresented minorities, a group that can include African American, Hispanic, Native American, and first-51 generation college students, among others. Recruiting underrepresented minorities to post-bac programs can 52 53 be challenging, for reasons that include a lack of available research opportunities, time to pursue these 54 experiences, and awareness of available programs. To this end, an Open House event was created to inform 55 and excite potential students for future post-bac programs. Students were recruited from partnering Minority 56 Serving Institutions (MSIs) to attend a two-day event at a primarily undergraduate institution (PUI) and a 57 research-intensive R1 institution. The students visited both campuses, were informed about post-bac programs 58 and potential research opportunities, and met with faculty, current graduate students, and a former post-bac scholar. Transportation, lodging, and meals were provided. Visiting students completed voluntary pre- and post-59 surveys. Results indicated that attendees, the majority of whom were underrepresented minorities in STEM, left 60 61 the event with an increased understanding about post-bac programs and their benefits to a career in STEM and 62 that their attendance at the event made it more likely they would apply to available post-bac programs. Thus, 63 this work demonstrates that in-person events involving integrative partnerships across multiple universities are effective strategies for increasing awareness of opportunities available to students post-graduation and for 64 65 recruiting underrepresented groups in STEM to post-bac programs. 66

67 Introduction

68 Starting a career in science depends on extensive hands-on experience. For many, laboratory research experience begins in their high school or undergraduate education, but for others, obligations outside the 69 70 classroom prevent them from experiencing bench research firsthand. This challenge is often observed with 71 students who identify as underrepresented minorities in science or have come through a community college 72 system (1, 2), and it can limit individuals belonging to these groups from obtaining lab research experience 73 necessary for graduate programs or employment in STEM careers. For example, graduate schools look for 74 meaningful research experience in their candidates. In many programs, matriculating graduate students are 75 years past their undergraduate education (3), giving them time to obtain relevant research experience that they 76 might not have had the opportunity to pursue while working towards their bachelor's degree. Developing 77 opportunities for students to gain experience after their undergraduate training is central to recruiting a diverse, 78 balanced population to the STEM workforce, but many of those who would benefit most from these opportunities 79 may be unaware of their existence or benefits.

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81 Post-baccalaureate programs are one to two-year funded, research-intensive training experiences designed to 82 prepare trainees for graduate school and STEM careers. Some of these programs have been active for several 83 vears, For example, the National Institutes of Health (NIH) Postbaccalaureate Research Education Program (PREP) program is in its third decade and supports post-bac trainees at a variety of research institutions across 84 85 the country (4). This program has evolved new strategies to promote readiness for STEM graduate school (5, 6) 86 and is incredibly successful. Currently, 65-97% of PREP scholars enter graduate school programs, and Ph.D. 87 completion rates are > 65% above the rates reported for underrepresented groups in the life sciences (6-8). The American Cancer Society (ACS) and National Science Foundation (NSF) have recently developed post-bac 88 programs with similar structural models (9, 10). All these programs recognize the need to support research 89 90 experiences for underrepresented minorities in science. A funded research experience outside of schooling 91 promises more opportunity to recruit a breadth of students from a wide demographic, but a challenge faced by post-bac programs is how to reach trainees who may not be familiar with the benefits of these programs or who 92 93 are disconnected from pathways that lead to a successful STEM career.

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95 An Open House event invites candidate trainees on site to introduce a program and present opportunities 96 available to them. These events are flexible by design and can be impactful well past the traditional K-12 use of 97 such events. Targeted, personal Open House-like events can be helpful in recruiting individuals from specific 98 demographics, like those who identify as female and African Americans (11). Students considering various 99 undergraduate programs also have identified Open House events as an effective recruiting tool (12). Universities note that Open House events are a chance to present a positive image to visitors (13). Open Houses are a 100 chance for real human connection, which can showcase the advantages of an educational program to groups of 101 people missed through other advertising campaigns. 102

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104 In this study, an Open House event was developed to introduce the benefits of post-bac programs, with an emphasis on reaching students from groups underrepresented in the biological sciences (14) with little previous 105 research experience. Faculty and students from research-intensive R1s, primarily undergraduate institutions 106 (PUIs), and minority serving institutions (MSIs) that form collaborative research networks are effective in 107 undergraduate biology training (15), and personalized referrals are among the most effective strategies for 108 recruiting students from underrepresented minority groups to STEM graduate school (11). In consideration of 109 these factors, an event was crafted that leveraged the strengths of faculty partnerships across a network of MSI, 110 111 PUI, and R1 institutions. The effort created an experience that reached a cohort of students from underrepresented minority groups in science and presented post-bac programs as a viable steppingstone for a 112 STEM career. This strategy can be modified to present the strengths of any university, training program, or 113 geographical area. Thus, STEM training programs may consider hosting similar events to increase the diversity 114 115 of their applicant cohort.

117 Materials and Methods

118 Open House Event and Survey Format

Recruitment for the Open House was performed through advertising and word of mouth. The advertising flyer was created in Canva (Canva, Sydney, Australia; <u>www.canva.com</u>), which contained a QR code linked to a Google Form (Google; Mountain View, CA; <u>www.google.com</u>) for registration. Students were selected on a first come, first serve basis. Partnering MSIs were given first access to registration, followed by students at the hosting institutions. In total, 17 students were recruited to the event, with 15 attending on both days. Students and faculty from their home institutions were responsible for arranging travel to Indianapolis, IN. Hotels were reserved through Butler University, the primary hosting institution.

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127 Day 1. Students and faculty arrived at Butler University, a PUI in Indianapolis, IN. Prior to scheduled events (Fig S1), students completed an anonymous pre-survey (Supplemental Information 1), approved by a Butler 128 University IRB (Approval date: Sept. 18, 2023) and administered by Qualtrics (Qualtrics; Provo, UT), taking 129 approximately 10-15 minutes to complete. This survey requested information regarding the participant's 130 demographics, science experiences, and familiarity with and interest in post-bac programs. A total of 17 students 131 completed the pre-survey. Students then learned of the opportunities for post-bacs and those with science 132 133 graduate degrees (e.g., M.S., Ph.D.), research opportunities at local PUIs, and resources available at Butler 134 University. A tour of the Butler University campus was made available for those interested. Visiting students and faculty then were taken to dinner with faculty interested in hosting post-bacs and with graduate students from 135 the Indiana University School of Medicine, an R1 Research Institution. Visiting faculty and students stayed at a 136 137 local hotel sponsored by the program.

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Day 2. Students and faculty visited Indiana University School of Medicine; Indianapolis, IN (Fig S1). They were 139 given an overview of an established post-bac program (https://iprep.iupui.edu/index.html) and research at 140 Indiana University and interacted with a graduate student panel assembled by the local chapter of the Society 141 for the Advancement of Chicanos/Hispanics and Native Americans in Science (SACNAS). Tours of the Centers 142 143 of Electron Microscopy and Proteome Analysis facilities were given. A sponsored lunch was provided with Indiana University School of Medicine faculty members and graduate students. Visiting students were prompted to 144 complete a Qualtrics exit survey consisting of the similar questions regarding post-bac programs (Supplemental 145 146 Information 2). A total of 13 students completed this exit survey. 147

148 Data Analysis

Anonymized pre- and post-event survey data were aggregated separately and analyzed for statistical significance in GraphPad Prism version 10.1.1 for MacOS (GraphPad Software, Boston, Massachusetts USA). Figure 1A and B data were analyzed using a Mann Whitney U test to compare pre- and post-survey Likert score means converted to a 1-5 scale. Figure 1C data were analyzed using a One Sample Sign Test (One sample t and Wilcoxon test in Prism) with 3.0 "neither" at the middle of the 1-5 Likert scale set as the theoretical mean value. Figures were also made using Prism and Adobe® Illustrator® (Adobe, San Jose, CA). Qualtrics data for all survey questions are included in the **Supplemental Information 1 and 2**.

157 Results

The goal this project was to develop an event that could recruit applicants from a range of backgrounds to post

159 baccalaureate programs. To this end, an Open House was created to advertise a potential post-bac program to

160 students in Indiana and the Chicago area. Partnerships were first established between three Indianapolis area PUIs that are proximal to a centrally located R1 institution. Next, additional partnerships were formed with four 161 MSIs in the Northern Indiana/Chicago area. Faculty at these MSIs interact regularly with many students from 162 underrepresented groups, as defined by both the NIH (16) and NSF (17). Each MSI had a faculty contact who 163 facilitated event advertising and chaperoned students to the Open House. A full schedule of talks and social 164 165 events were planned (Fig S1) and held at Butler University and Indiana University School of Medicine. Students learned about scientific research and professional opportunities for those entering post-bac programs and STEM 166 167 careers. Discussion forums and meals were included, which allowed visiting students to discuss post-bac programs and graduate school with R1 graduate students from SACNAS and with faculty from PUI and R1 168 169 institutions.

171 Voluntary, anonymous pre- and post-surveys were administered at the beginning and ending of the Open House. The pre-survey solicited demographic information of the students attending the event (Supplementary 172 173 Information 1). Information was collected regarding age, year in school, sexuality, gender, disability, military service, education, science exposure, career goals, and the attendees' knowledge of the concept of and 174 175 opportunities available in post-bac programs. All results are provided for those who responded (Supplementary Information 1). Of note, 76.2% of total pre-survey respondents identified as an underrepresented racial/ethnic 176 177 minority, including Black/African American (33.3%), Hispanic/Latinx/a/o/e (38.1%), or Indigenous/American 178 Indian or Alaskan Native (4.8%). Additionally, 17.6% of respondents indicated they had a disability according to 179 the NIH/NSF definition (16-19). Only 18.8% reported having a family member in the household with a 4-year 180 degree or higher. While most respondents (94.1%) reported pursuit of a bachelor's degree in science, less than half (47.1%) could identify a science role model. A similar percentage (57.1%) reported that they did not pursue 181 independent research in their undergraduate education, either because it was not available or because they 182 183 chose not to participate. The responses indicated that limited time due to work or personal obligations (32.1%) 184 and access to knowledge regarding research activities (25.0%) were both significant factors in deciding whether to pursue undergraduate research. In sum, the students recruited to this Open House were members of groups 185 typically underrepresented in science with limited exposure to science research. 186

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Analysis of pre- and post-survey data indicated that the attending students learned about and had a positive 188 189 impression of the post-bac program. Responses showed that students gained a statistically significantly improvement in their understanding of post-bac training programs and what they entail after attending the Open 190 House (Fig 1A; U (N_{Pre}=15, N_{Post}=13) = 26.5, p = 0.0003). Students also expressed a strong interest in pursuing 191 a post-bac opportunity (Fig 1B). Although the pre- to post-survey gains were not statistically significant for this 192 193 question [$(U(N_{Pre}=15, N_{Post}=13) = 71, p = 0.192$], this is likely due to both small sample sizes and the high number of students "agreeing" with the statement despite not being very familiar with post-bac programs in the pre-194 195 survey. Nevertheless, more students "strongly agreed" they were interested in pursuing a post-bac program in the post-survey (Mean_{Pre} = 4.0; Mean_{Post} = 5.0). The responses for the Open House event were universally 196 positive and indicated a statistically significant increase in the likelihood attendees would apply for a post-bac 197 program (Fig 1C) [one sample, t(df) = 10.65 (11); p < 0.0001]. The most positive experiences came from hearing 198 199 about the benefits of a post-bac program (75%), an overview of a model post-bac program (75%), and the 200 graduate student panel (83%). Anecdotally, student survey responders commented that "they definitely sold me 201 on (the location)...and all the programs offered," that "the event was really informative," and that the event "was really fun and insightful. I found out more about post bac programs and the benefits." While some students 202 203 commented in the pre-survey that they were worried about the "location away from home", "being at a 204 predominantly white institution", and being unsure whether completing the post-bac program "would lead to 205 something", none of these concerns appeared in post-survey responses. Thus, the Open House may have been successful in addressing students' concerns. In fact, one respondent in the post-survey stated, "That being away 206 from home and finding a new place to live and having to start out my own with this change is daunting but I'm 207 sure I'm capable of doing it." In sum, the network-based Open House event delivered a positive experience and 208 209 was successful in informing students about the benefits of a post-bac program to pursuing future careers in 210 STEM.

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212 Discussion

Post-baccalaureate recruitment of underrepresented minorities can be challenging due to a lack of science exposure and personalized interactions. To improve outreach to underserved populations in science, an open

house event was established to advertise post-bac programs to students from MSIs and surrounding universities.

Students visited the campuses of a PUI and an R1 institution, heard about post-bac programs and graduate school, and had a chance to socialize with faculty and students. Pre- and post-surveys performed indicated that many of the students who visited represented underserved minorities in science and that the Open House both informed and left a positive impact on their impressions of post-bac programs. Hence, direct personalized events leveraging the strengths of multiple institutions is a viable strategy to encourage trainees to pursue post-bac opportunities.

- 223 MSI partnership to enhance science outreach and development is a well-established strategy. Personal referrals 224 are an effective means to recruit students to graduate programs (11). Furthermore, MSI partnerships have aided in recruitment of underrepresented minorities in sciences into a physical sciences graduate program (20), and 225 226 encouraged participation in STEM research with the National Oceanic and Atmospheric Administration (NOAA) (21). National programs like the Leadership Alliance, comprised of 32 institutions ranging from Ivy League 227 schools and R1s to MSIs, have been collaboratively mentoring underrepresented minority students from 228 229 undergraduate through graduate training for 30 years (22). Similarly, this Open House event relied heavily on MSI faculty to recruit students through word of mouth and flyer distribution. MSI faculty members also 230 231 accompanied their students to the two-day event. Personalized mentorship is known to enhance a student's 232 STEM experience and decision to enter STEM careers (23). Thus, personalized experiences, like invitations 233 from faculty at their own institutions to an Open House event, are likely to increase the likelihood that students 234 will apply to post-bac programs.
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Improvements will further refine the effectiveness of the Open House. First, while MSI student participants 236 expressed many positive sentiments regarding their experience at the event, informal conversations with student 237 and faculty attendees indicated that they would like additional time to explore the local area, including housing 238 239 options and neighborhood information, as well as a more comprehensive overview of research departments and 240 areas, while also ensuring that research talks are as accessible as possible to a wide range of students. Second, 241 scheduling the Open House at a time that was mutually convenient for all institutions, each with their own unique academic calendars, while also avoiding local hotel event conflicts, was challenging. Continued communication 242 243 and advance planning, as well as pairing the in-person event with virtual "office hours" and other campus visits by post-bac program faculty and student representatives should minimize these challenges in the future. Third, 244 245 although advertising with the partnered MSIs was effective for recruiting Open House attendees, less effort was 246 placed on recruiting students in the area. Local students represent an additional, potentially high yield population 247 for a post-bac program, as they would not need extensive travel to attend the Open House, and some would 248 likely identify as an underserved minority in science. Thus, recruiting local students to post-bac programs may 249 be extremely fruitful, as they may be comfortable committing to a program in which they know the area, 250 universities, and faculty members involved. More effort should be placed to advertise such Open House events 251 to all students, near and far. Fourth, many students who attended the Open House event had already made career choices. Many students were interested in clinical professions, with less than half citing research as their 252 253 career goal (Supplemental Information 1). Student mindset can change, but it may be advantageous to target 254 college students who are undecided or leaning toward a non-clinical STEM career, as these students will be the 255 strongest candidates for post-bac programs. Continued personalized invitations to such students from MSI, PUI, 256 and R1 faculty, along with providing additional STEM-career focused information to candidates, will likely be most effective in achieving this goal (11). As designed, the Open House format permits flexibility for hosts to 257 258 reconfigure and emphasize strengths of their geographical area, research programs, and partners to recruit their 259 desired post-bac cohort.

260 261 **Conclusion**

Overall, this work provides evidence that having in-person Open House events is an effective way to inform 262 263 students, and particularly those from groups underrepresented in STEM, about post-bac programs. Post-bac programs continue to gain traction because of their strengths in preparing students for graduate school. These 264 training opportunities are promising avenues to recruit talent from all walks of life into STEM careers. Virtual 265 "office" hours and flyer advertising on university boards or email are affordable and can be effective for the 266 student knowledgeable about the next steps in a STEM career. However, to recruit students unaware of the 267 possibilities in a science career, a more active recruitment process, such as an Open House event, may aid in 268 269 identifying talent outside of the normal cohort. This Open House model, which capitalized on the synergy of a network of partner institutions (MSIs, PUIs, and RIs), is one method for successfully identifying post-bac 270

candidates from underrepresented groups and sharing with them the benefits of participating in a post-bac
 program as an integral step in their STEM career progression.

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287 Figure Legends

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Figure 1. Effectiveness of an Open House event in educating and promoting post-baccalaureate programs. (A, B) Pre- and post-event surveys of (A) student familiarity with post-baccalaureate training programs and (B) student interest in participating in a post-baccalaureate training program (N = 15 pre; N = 13 post). (C) Post-survey responses regarding the impact of the Open House event on the likelihood of their future application to a post-baccalaureate training program (N = 12). See *Results* text for statistical analysis.

295 Figure S1. Open House Agenda296

297 **Supplemental Information 1**. Open House Pre-Survey Results

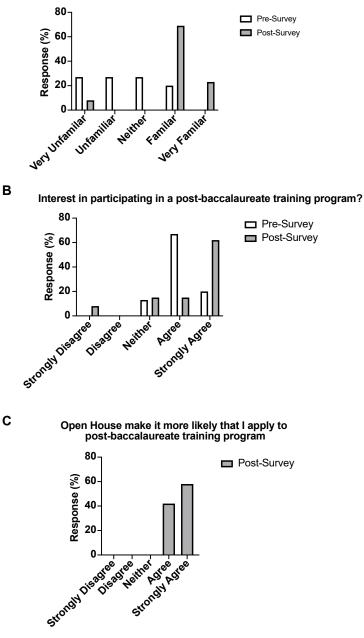
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299 Supplemental Information 2. Open House Post-Survey Results.

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A Familiarity with the post-baccalaureate training program?

Figure S1. Post-Baccalaureate Open House Agenda

Day 1: Primary Undergraduate Institution (PUI)			
<u>Time</u>	Activity		
12:00p – 1:00p	Lunch, pre-survey taking		
1:00p – 1:10p	Welcome and introductions, PUI		
1:10p – 1:25p	What to do with a science degree: A brief overview of graduate school and careers in STEM		
1:25p – 1:35p	Undergraduate vs. Graduate School and how Post-bac programs can bridge the gap		
1:35p – 1:55p	Life as a post-bac, previous post-bac turned graduate student		
1:55p – 2:05p	Break		
2:05p – 2:20p	Overview of a post-bac program: goals, design, student timeline		
2:20p – 2:35p	Example 1: PUI faculty research		
2:35p – 2:50p	Example 2: PUI faculty research		
2:50p – 3:00p	Break		
3:00p – 3:30p	Butler Campus tour - Labs/science area		
3:30p – 4:00p	Diversity resources		
4:00p – 6:00p	Break, check in to hotel		
6:00p – 8:00p	Dinner and Networking Reception *All PUI, MSI, RI, faculty & students invited		

Day 2: R1 Research Institution (R1)

Time	Activity
8:30a – 9:00a	Coffee and baked goods
9:00a – 9:10a	Welcome and introductions, IUSM
9:10a – 9:40a	Getting from here to there: Benefits to a post-bac program and life as a post-bac in Indianapolis (faculty post-bac expert)
9:40a – 10:10a	Graduate Student Panel, Society for the Advancement of Chicanos/Hispanics and Native Americans in Science (SACNAS): Trainee life in Indianapolis and benefits to post-bac program
10:10a – 10:25a	Break
10:25a – 10:40a	Example 1: R1 faculty research
10:40a – 10:55a	Example 2: R1 faculty research
10:55a – 11:05a	Break
11:05a – 12:00p	R1 Campus tour – Centers for Electron Microscopy and Proteomics
12:00p – 1:00p	Thank you, Lunch, survey